# The role of tools and frameworks

Trustworthy AI Standardization Workshop

Singapore, 2023-10-27 Dr Martin Saerbeck

Add value. Inspire trust.

## Al Quality is the key for organizations to leverage the full potential of Al while managing the risks



Al has found successful applications across all significant industry sectors, acting as a disruptive force that **reshapes organizational structures and competitive landscapes**.

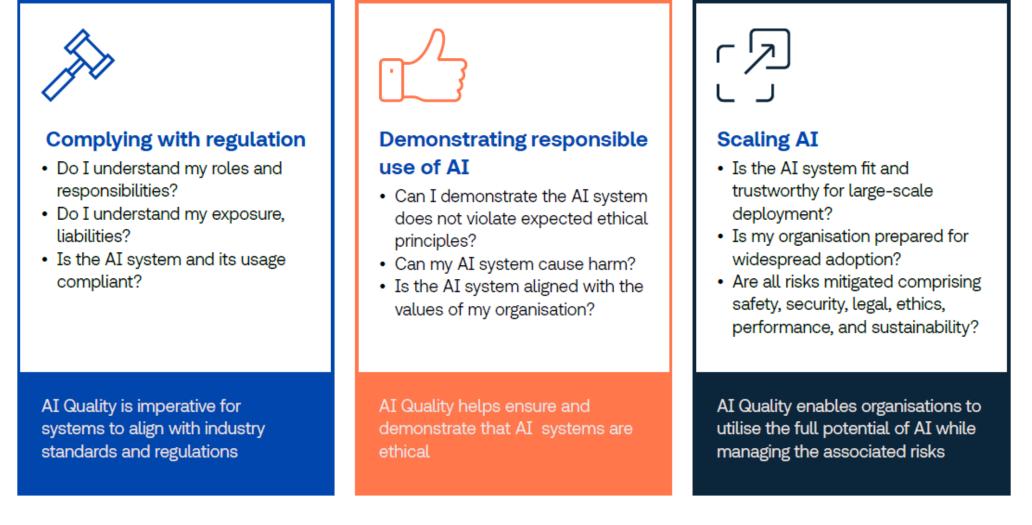
The expansive deployment of AI and its potential risks to individuals, society, and the environment, have **spurred governments into regulating AI usage**.

Shift in focus from AI development and AI deployment to **compliance**, **reputational**, **technical**, **and legal risks**. What is Al Quality?

It refers to the degree to which an AI System satisfies requirements throughout its life cycle



## The assurance of AI Quality overcomes key challenges for adopters and developers



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## A unified approach to AI quality









#### Harmonized and Specific

Taps on standards, regulations, and other frameworks that are relevant for specific AI solutions, and does not follow a rigid, fixed approach.

#### **Comprehensive and Sufficient**

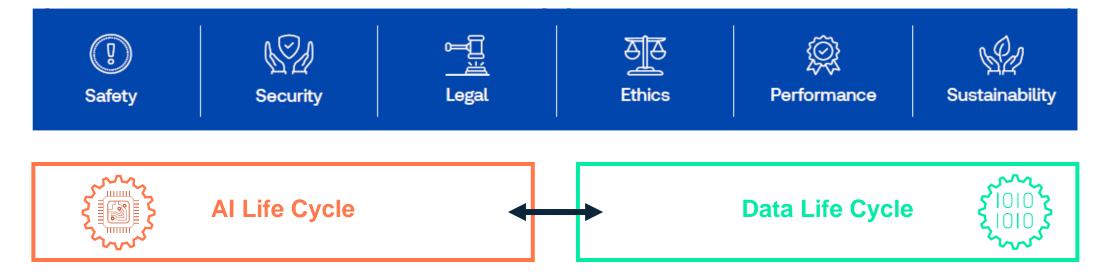
Covers the entire spectrum of an AI Quality Management System (AIQMS), whereas other frameworks and providers focus only on specific aspects, e.g., governance, technical testing, ethical aspects.

#### Versatile

Can be utilised equally for advisory, assessment, and certification purposes.

## Harmonized quality approach





| Organizational Maturity Model |   |   |  |  |  |  |
|-------------------------------|---|---|--|--|--|--|
| AI Governance                 | AI Technology   | AI Processes Management                     |  |  |  |  |
|                               |   |   |  |  |  |  |
| Standards and Regulations     | Industry and sector specific requirements (e.g. medical, automotive, education) | AI best practices and technology benchmarks |  |  |  |  |

## **Element 1: Quality Profile** 6 pillars include all AI risks

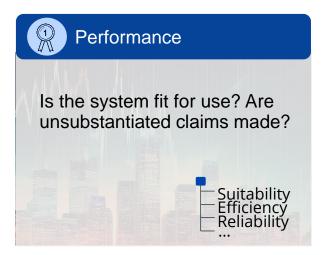














## **Element 3: Process, Technology, Organisation**



## **Organizational Maturity**

| Al Governance  | AI Technology          |  |                   | AI Process Management |                           |                    |  |
|--|------------------------|--|-------------------|-----------------------|---------------------------|--------------------|--|
| Context  | Core                   | Integration                                |                   | Oversight             |                           |                    |  |
| Compliance   | Data                   | Execution<br>middleware<br>Flow of control |                   | Testing               | Al System<br>Life Cycle   | Data<br>Life Cycle |  |
|  | Model                  |  |                   | Controls              |                           |                    |  |
| Strategy   | Training Middleware    | -  |                   |                       | Risk Management           |                    |  |
| Talent   | Infrastructure         |  |                   |                       | Verification & Validation |                    |  |
|  | Data Architecture      |  | Cloud Environment |                       |                           |                    |  |
|  | On-premise Environment |  |                   |                       | Cybersecurity             |                    |  |
| Assessment scale of maturity and resulting priority for action by the organization for each component: |                        |  |                   |                       |                           |                    |  |

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- Operational

4 - Systematic

**2** - Experimenting

- Novice

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- Transformational

## Methodology to identify and assess quality requirements



AI Quality Model Risk Assessment Life cycle process audits Product Tests

#### **Al Application and Intent**

### Al Quality Framework

## Company specific quality profile

Meet requirements for compliance and market access Identify risks when adopting AI at scale Demonstrate responsible us of AI Standards Regulations Best Industry Practices Contextualised quality and risk profile Organisational maturity readiness profile Roadmap to quality management system

## **Use Case - Assessing AI System of AV Software**









### The Company

The Company is a software solution provider to drive automated vehicles

### The Product

Autonomous vehicles use synchronized sensors to detect objects through traditional and data-driven algorithms.

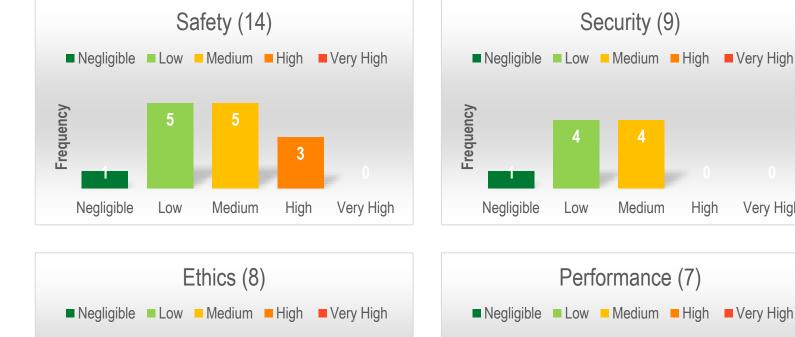
Neural networks aid in detecting drivable space.

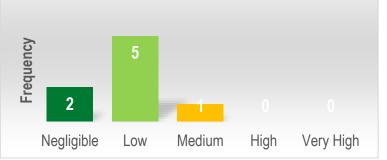
### **The Challenge**

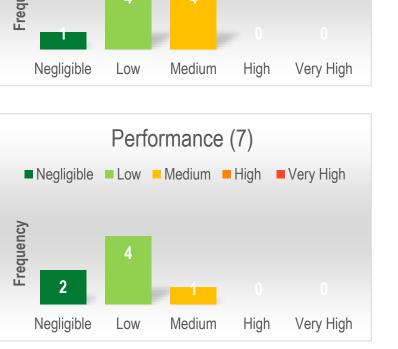
Evaluate the preparedness of the organization to ensure the quality of their AI system

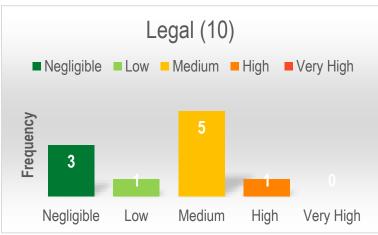
## **Risk profiling over all quality pillars**











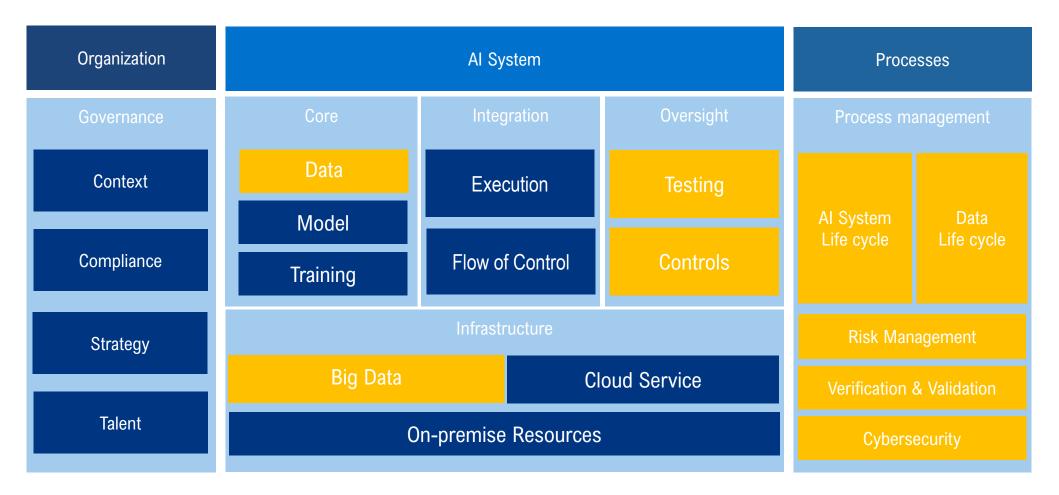


Results are for illustration only

## **Organisational maturity analysis**



The operational maturity analysis identifies areas that need to be addressed to assure the quality of the respective AI application.



Results are for illustration only

## Conclusion



#### 1. Al Evolution

From development to trustworthiness, AI is now central to business strategy

#### 2. Core Challenges

Compliance, Responsible Use, Scaling AI

#### 3. Quality Assurance

Vital for AI trustworthiness and addressing challenges

#### 4. Harmonized Approach

Navigate the diverse AI landscape with standards and best practices

#### 5. Frameworks and tools

| Risk       | Safety, security, ethics, legal, performance, and sustainability |
|------------|--|
| Life Cycle | AI System Life Cycle, Data Life Cyle, DevOps                     |
| Governance | Process, Technology, and Organization                            |
| Controls   | Testing, Monitoring, Audit, Compliance management                |

#### 6. Way forward

A unified AI approach ensures trust, competitiveness, and reliability



## Thank you

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